

PTOL-413A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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(Total # of Faxed Pages: 1)

**Applicant-Initiated Interview Request Form**

Application No.: 09/737,434 First Named Applicant: Ullman  
 Examiner: Vu, T. Art Unit: 2142 Status of Application: Non-final  
 Attorney Docket Number: AUS9-2000-0703-US1 Date Mailed: 05/07/04  
 Tentative Participants:  
 (1) Examiner — Vu, T. (2) Attorney — JOE BURWELL (TELEPHONE: 866-728-3688)

(3) \_\_\_\_\_ (4) \_\_\_\_\_

Proposed Date of Interview: ASAP--PLEASE  
CALL ATTORNEY  
TO SCHEDULE Proposed Time: 1 PM Eastern Time

Type of Interview Requested:  
 (1) ☒ Telephonic (2) ☐ Personal (3) ☐ Video Conference

Exhibit To Be Shown or Demonstrated: ☐ YES ☒ NO  
 If yes, provide brief description: \_\_\_\_\_

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**Issues To Be Discussed**

Issues (Rej., Obj., etc)	Claims/ Fig. #s	Prior Art	Discussed	Agreed	Not Agreed
(1) <u>102 rej.</u>	<u>1-21</u>	<u>Du</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(2) <u>103 rej.</u>	<u>1-21</u>	<u>Stupek, Orr</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(3) <u>101 rej.</u>	_____	<u>Double-patenting</u>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
(4) _____	_____	_____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Continuation Sheet Attached (Total # of Faxed Pages: 1)

**Brief Description of Arguments to be Presented:**

Arguments are contained within the response that has already been filed with the PTO.

An interview was conducted on the above-identified application on \_\_\_\_\_.

**NOTE:**

This form should be completed by applicant and submitted to the examiner in advance of the interview (see MPEP § 713.01).

This application will not be delayed from issue because of applicant's failure to submit a written record of this interview. Therefore, applicant is advised to file a statement of the substance of this interview (37 CFR 1.133(b)) as soon as possible.

\_\_\_\_\_  
 (Applicant/Applicant's Representative Signature)

\_\_\_\_\_  
 (Examiner/SPE Signature)

This collection of information is required by 37 CFR 1.133. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*